

## Advantech Group Responsible Minerals Sourcing Policy

Advantech Group, we acknowledge our corporate social responsibility to strive to procure only conflict-free minerals, based upon humanitarian and ethical social principles to protect the dignity of all persons. Since the passage of Section 1502 of the Dodd-Frank Financial Reform Act of 2010, Advantech has been on ensuring that 3TG sources do not contribute directly or indirectly to armed groups in the Democratic Republic of the Congo (DRC) and adjoining countries. At present, we are taking measures to further expand our due diligence to include minerals in our supply chain identified as having originated from other conflict affected and high-risk areas (CAHRAs). Meanwhile, we have implemented a series of compliance safeguards in accordance with industry leading practices such as adopting the due diligence framework in the Organization for Economic Co-Operation and Development (OECD) Model Supply Chain Policy for a Responsible Global Supply Chain of Minerals from Conflict-Affected and High Risk Areas.

We expect our hardware suppliers to:

1. Create a policy for responsible mineral sourcing.
2. Source from conformant or active smelter or refiner (SORs), that have been validated through third party accredited audits such as: RMAP, LBMA, RJC, or TI-CMC.
3. Establish appropriate management systems.
4. Make the same requirements to upstream suppliers.
5. Report status to Advantech using the RMI CMRT.

\* Conflict Minerals: The minerals composed of columbite-tantalite, cassiterite, wolframite and gold from the fighting region of Democratic Republic of the Congo (DRC). These minerals are refined into tantalum (Ta), tin (Sn), tungsten (W) and gold (Au) (referred to as the 3TG).

## 研華集團責任礦物採購政策

Advantech集團公司，體認「非衝突（conflict-free）」的原物料採購，提倡人道精神與實踐人性尊嚴的社會道德準則，是Advantech應善盡的企業社會責任。自2010年「多德-弗蘭克金融改革法案」第1502條通過以來，Advantech一直在確保3TG來源不直接或間接來自剛果民主共和國（DRC）和鄰國的武裝團體。目前，我們正在採取措施，進一步擴大盡職調查的範圍，以將供應鏈中被確定為源自其他受衝突影響和高風險地區（CAHRAs）的礦物包括在內。基此信念，我們已依循產業的領先作為採取一系列的法規遵循措施，包括建立符合「經濟合作暨發展組織（OECD）」所發布之「受衝突影響與高風險地區之全球責任礦物供應鏈模範政策（Model Supply Chain Policy for a Responsible Global Supply Chain of Mineral from Conflict-Affected and High Risk Areas）」的盡職調查（due diligence）架構。

我們希望我們的供應商能夠：

- （1）制定負責任的礦物採購政策；
- （2）使用來自符合查證或正進行查證的冶煉廠或精煉廠（SOR）來源的礦產，其通過第三方認可的查證，例如：RMAP、LBMA、RJC或TI-CMC；
- （3）建立適當的管理系統；
- （4）將此要求傳達至上游供應商；
- （5）使用RMI CMRT向Advantech報告。

\* 衝突礦物：類別有鈮鉭鐵礦，錫石，黑鎢礦與黃金等。這些礦物提煉成鈮(Ta)、錫(Sn)、鎢(W)和金(Au)（簡稱3TG）。